

2020-12-2 GO Seminar

Polynomial Functors & Operopes

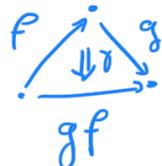
GO Seminar
2/12/2020



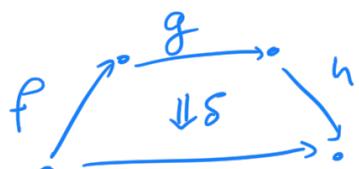
1) Operopes, informally

IDEA: Represent higher-dimensional composition laws

Example • Dimension 1 \approx Category theory



$\vartheta \rightsquigarrow$ Witness of the fact that
 gf is the sequential composition
of g and f —



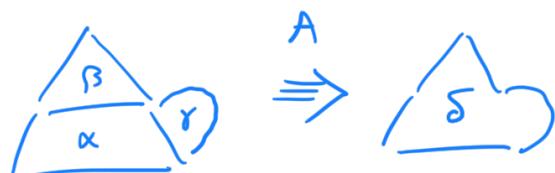
The "witness" approach is
fundamentally unbiased —

$h \circ f$



Nullary composition
correspond to the notion of
identities

- Dimension 2 \simeq Operads (a.k.a. multicategories)



This time, A witnesses the fact that
 $\delta = \alpha(id, \beta, \gamma)$

Opetopes provide a geometrical formalism
to talk about composition schemes in all
dimensions —

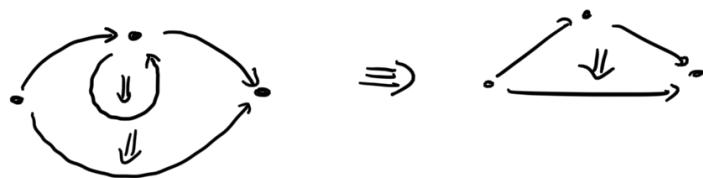
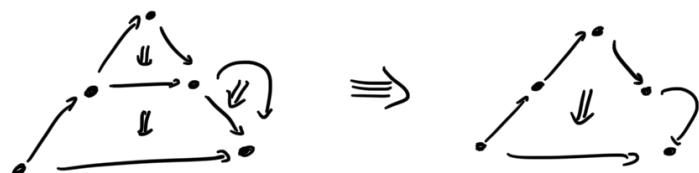
Definition (tentative)

- There is a unique 0-dimensional opetope
-
- There is a unique 1-dimensional opetope
- - -

- A 2-dimensional opeope is essentially a nesting diagram of 1-operopes

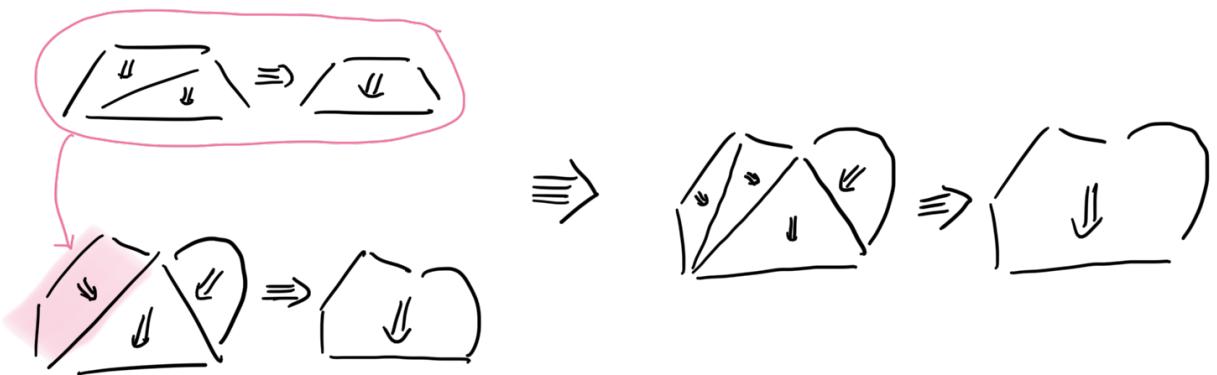


- A 3-dimensional opeope is essentially a nesting diagram of 2-operopes



- A 4-dimensional opeope is essentially

a pasting diagram of 3-operates



- etc ...

Problem The graphical approach is not formal
and not even convenient -
How to define operads formally ?

2) Polynomial functors & trees

Motivation : Operads are fundamentally
arborescent



$\square \rightarrow |$

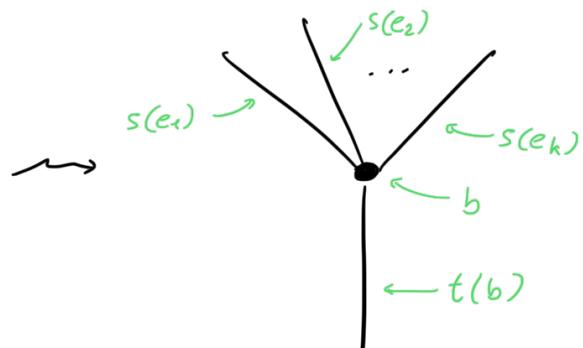
↪ Need a good formalism for (decorated) trees!

Definition Polynomial (endo)functor

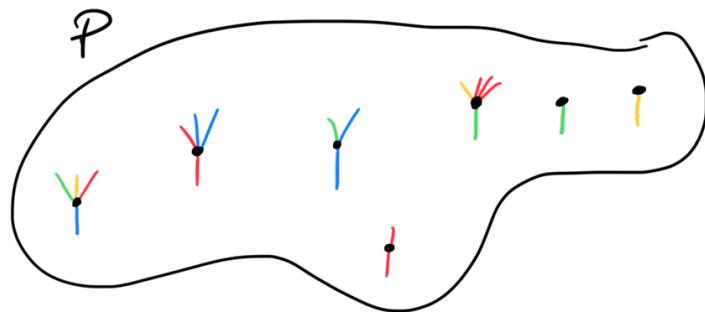
$$I \xleftarrow{S} E \xrightarrow{P} B \longrightarrow I$$

colors/types
/sorts inputs operations

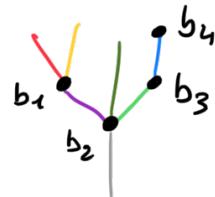
$$\begin{aligned} b &\in B \\ E(b) &:= p^{-1}(b) \\ &= \{e_1, \dots, e_k\} \end{aligned}$$



So a p.f. P is just a collection of operations
symbols and types



If the operations come together nicely, then visually, P forms a tree



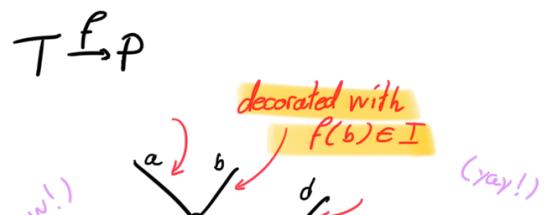
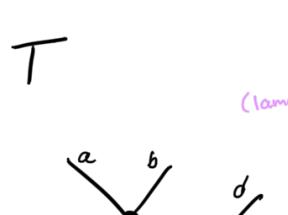
$\text{Nice}_r = \left\{ \begin{array}{l} \text{the colors describe binary adjacencies} \\ \text{connectivity} \\ \text{existence of a root} \\ \text{well founded} \\ \text{finite} \end{array} \right.$

In this case, P is a polynomial tree —

(important) Definition

$$\text{tree } \rightsquigarrow T \xrightarrow{f} P \rightsquigarrow \begin{array}{l} \text{morphism} \\ \text{of polynomial functors} \\ \text{any polynomial} \\ \text{functor} \end{array}$$

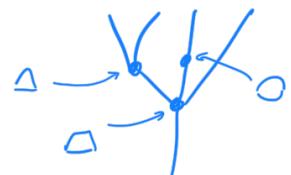
f decorates the nodes of T with operations of P , and edges of T with colors of P —





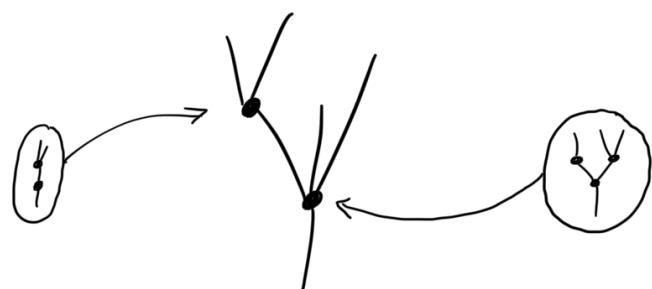
Let's come back to opetopes for a minute

$$\overbrace{\begin{array}{c} \diagup \quad \diagdown \\ \text{opetope} \\ \diagdown \quad \diagup \end{array}} \Rightarrow \begin{array}{c} \diagup \quad \diagdown \\ \text{opetope} \\ \diagdown \quad \diagup \end{array}$$



Opetopes are trees decorated by opetopes !

Final step: Decorate trees with trees



3) The Baez-Dolan construction

Let P be a polynomial functor

$$P = I \xleftarrow{s} E \xrightarrow{f} B \xrightarrow{t} I$$

Recall that if T is a P -tree, as in

$$T \xrightarrow{f} P$$

then

- the nodes of T are decorated by elements of B
- the edges $\rule{1cm}{0.4pt}$ I

If we want trees of P -trees, we must consider Q -trees, where Q is of the form

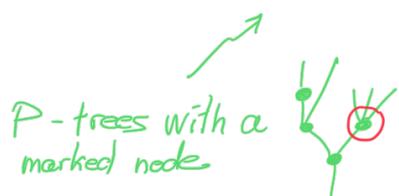
$$Q = ? \xleftarrow{?} ? \xrightarrow{?} \text{tr } P \xrightarrow{?} ?$$

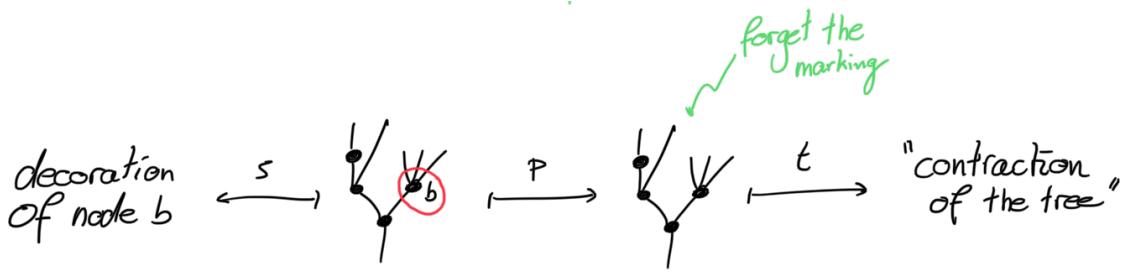
Definition If P is a polynomial monad

$$P = I \xleftarrow{s} E \longrightarrow B \xrightarrow{t} I$$

then let

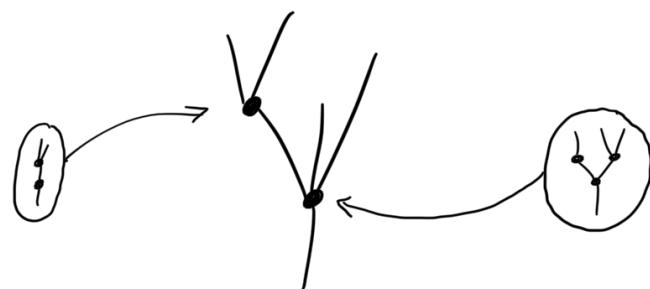
$$P^+ = B \xleftarrow{s} \text{tr } P \xrightarrow{?} \text{tr } P \xrightarrow{t} B$$



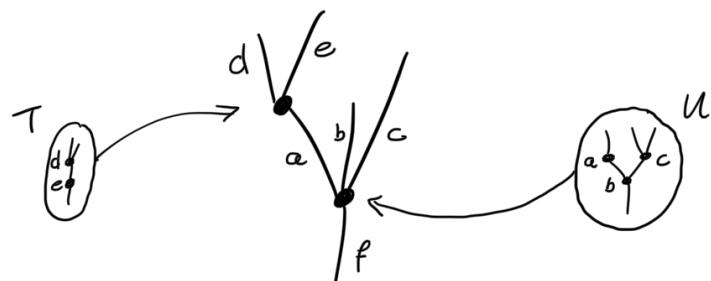
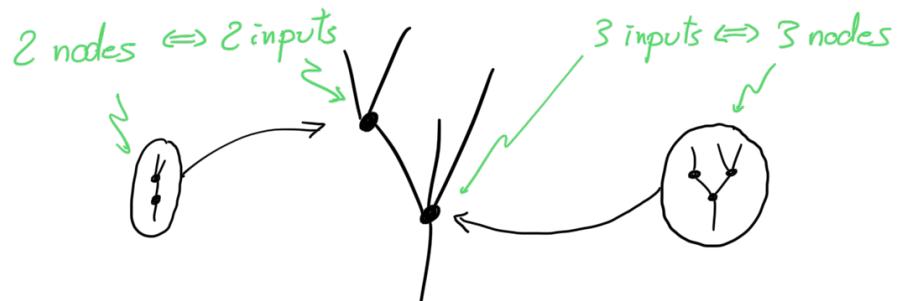


Consequences

1) P^+ -trees really are trees of P -trees



2) There are built-in "well-formedness" constraints



$$a, b, \dots, f \in \mathcal{B}$$

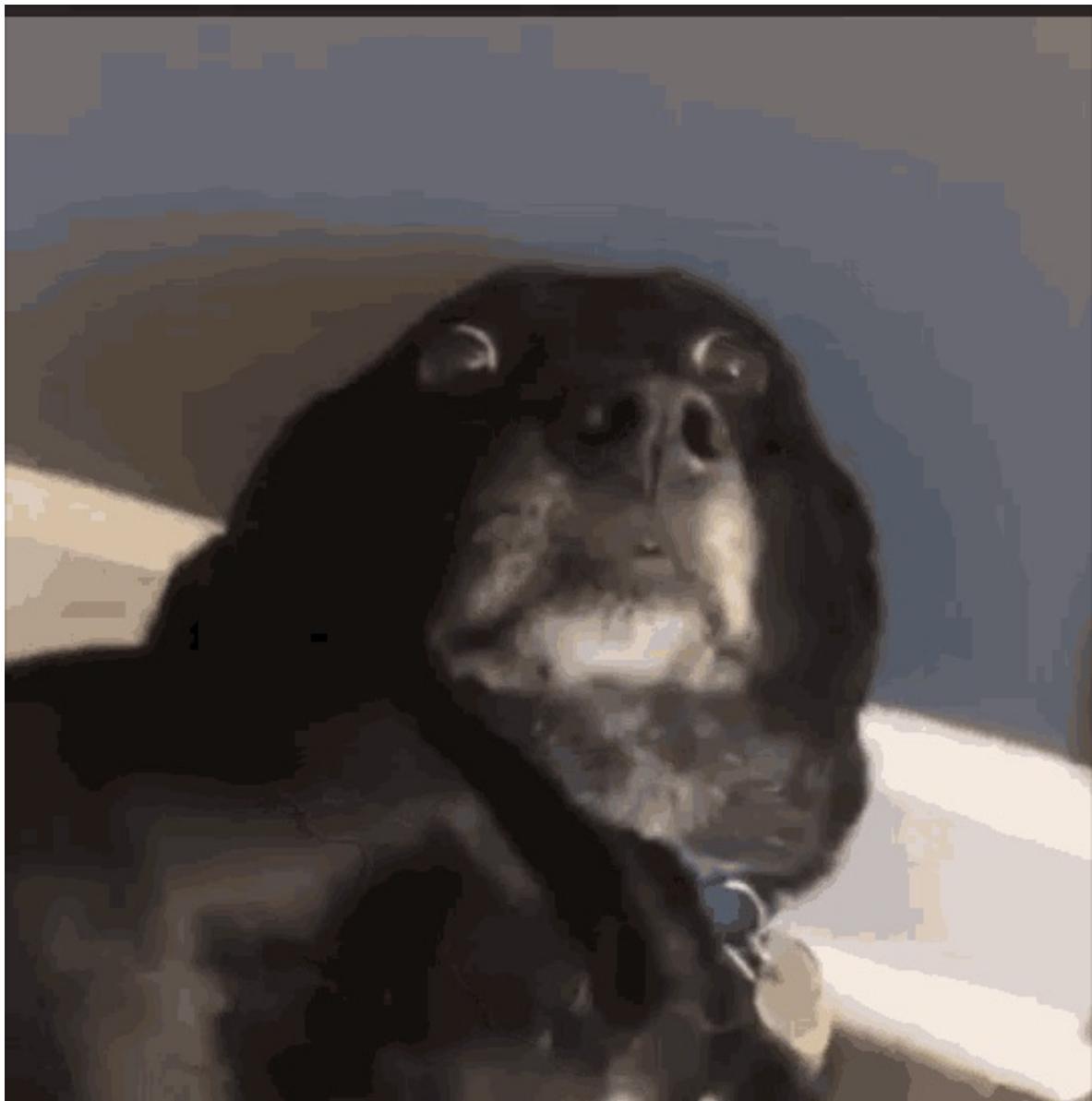
By construction,

$$T, U \in \text{fr } P$$

$$\begin{cases} t(T) = a \\ t(U) = f \end{cases}$$

recall: t "contracts" P-trees

Theorem If P is a polynomial monad, then
so is P^+



4) Polynomial functors & Operopes

Definition Let \mathcal{P}^0 be the identity polynomial monad on Set

$$\mathcal{P}^0 = \{\diamond\} \leftarrow \{*\} \longrightarrow \{\bullet\} \longrightarrow \{\diamond\}$$

Let $\mathfrak{J}^{n+r} := (\mathfrak{J}^n)^+$

An n -opeope is a color of \mathcal{Z}^n

Consequences 1) If $n \geq 1$, an n -operope is an operation of \mathfrak{I}^{n-1} .

2) If $n \geq 2$, an n -opetope is a 3^{n-2} -tree -

3) We can write

$$\mathfrak{J}^n = \mathbb{O}_n \xleftarrow{s} E_{n+1} \xrightarrow{f} \mathbb{O}_{n+1} \xrightarrow{t} \mathbb{O}_n$$

The diagram consists of three green curly braces pointing upwards from below. The first brace spans the width of the first two terms (\mathbb{O}_n and E_{n+1}). The second brace spans the width of the last two terms (E_{n+1} and \mathbb{O}_{n+1}). The third brace spans the width of the final term (\mathbb{O}_{n+1} and the empty space to its right). Below the first brace is the label "set of n -opetopes". Below the second brace is the label "($n+1$)-opetopes with a marked node". Below the third brace is the label "set of ($n+1$)-opetopes".

Let's unfold the definition

$$n = \underline{0}$$

$$\mathcal{J}^0 = \{ \textcolor{yellow}{\diamond} \} \leftarrow \{ * \} \longrightarrow \{ \blacksquare \} \longrightarrow \{ \textcolor{yellow}{\diamond} \}$$

There is a unique O-octope called the point and denoted by 

Geometrically •

$n = 1$

$$\mathcal{J}^{\circ} = \{\diamond\} \leftarrow \{*\} \longrightarrow \{\blacksquare\} \rightarrow \{\diamond\}$$

There is a unique 1-operope called the **arrow** and denoted by \square

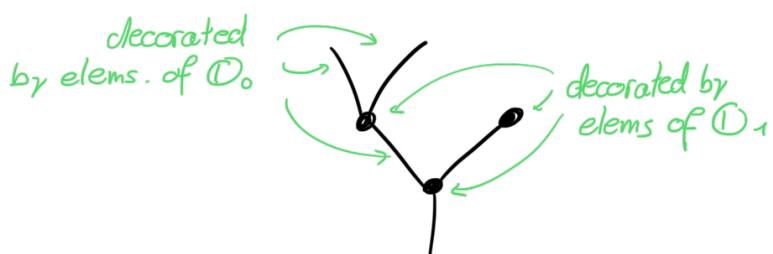
\mathcal{J}° says that \blacksquare has one input $*$ of type \diamond , and that its output type is \diamond

Geometrically,

$$\square = \begin{array}{ccc} & \bullet \longrightarrow \bullet & \\ & \swarrow \quad \searrow & \\ \text{input } * \text{ of type } \diamond & & \text{output of type } \diamond \end{array}$$

$n = 2$

By definition, a 2-operope is a \mathcal{J}° -tree

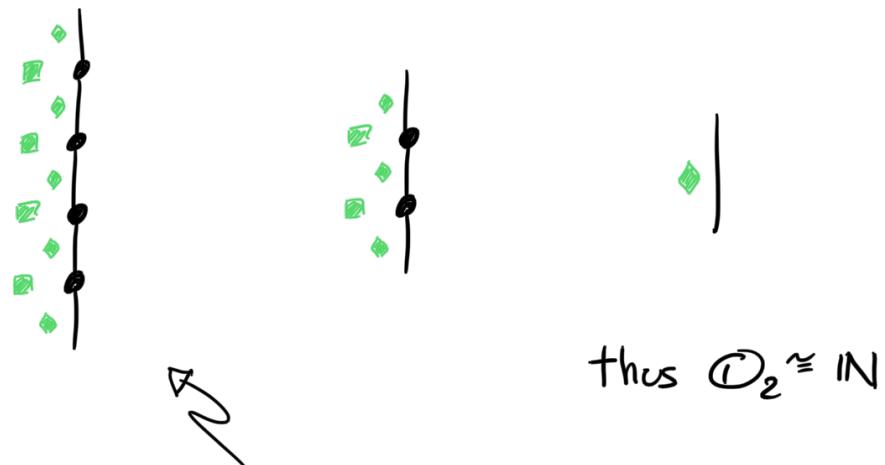


BUT

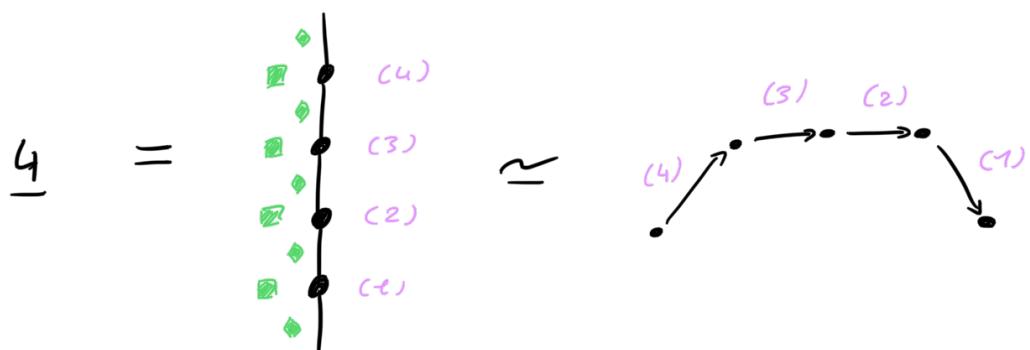
- \diamond is the only element of O_0 .
- \blacksquare is the only element of O_1 .

- \square only has 1 input

So the 3^o -trees necessarily look like



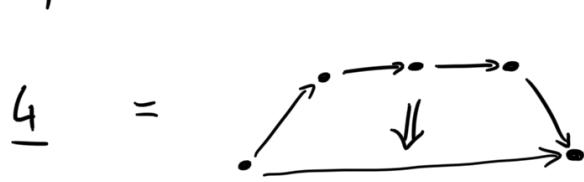
Geometrically, this tree talks about 4 arrows glued end to end



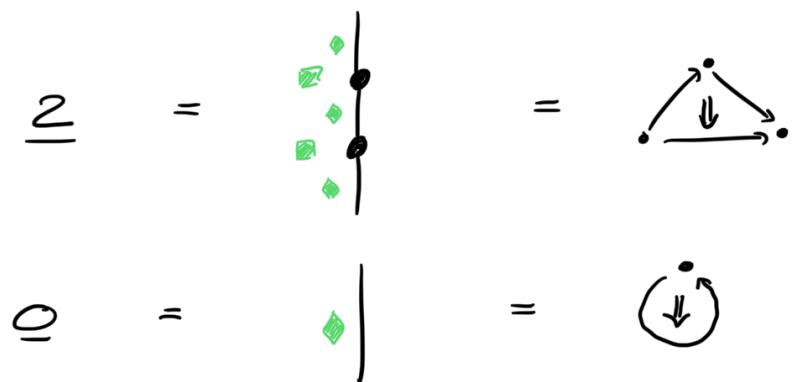
Arrows $(1), (2), (3), (4)$ are now the inputs of the 2-operad $\underline{4}$.

What is the output of $\underline{4}$?

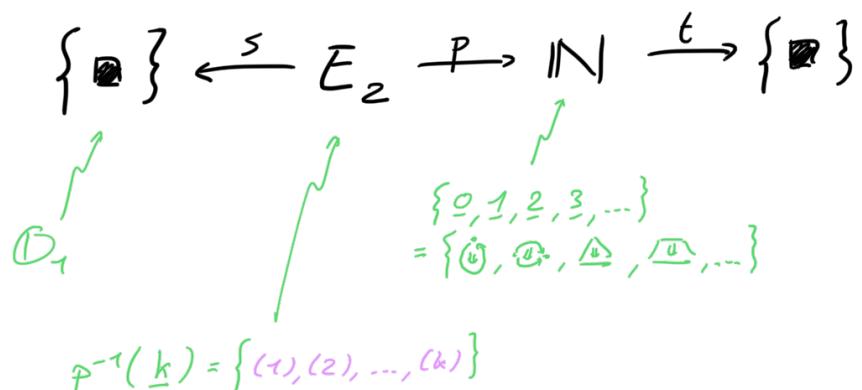
By definition, $t(\underline{4}) \in O_1$, so $t(\underline{4}) = \square$ which we represent by



Likewise

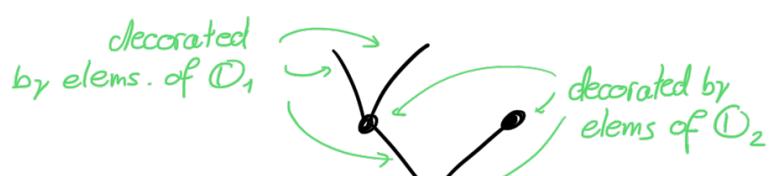


Finally, $\mathcal{J}^t = (\mathcal{J}^0)^+$ looks like this



$n=3$

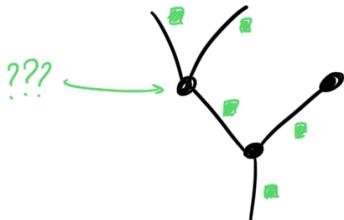
By definition, a \mathcal{J} -opetope is a \mathcal{J}^t -tree





so all edges are decorated by \square BUT this time, we have more options for the nodes

Which 2-operope could
decorate this node?

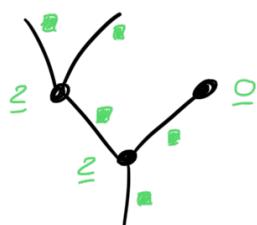


Since this node has 2 inputs, so must the
decorating operope. The only choice is

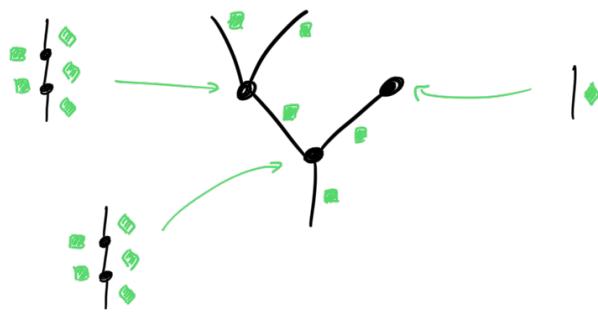
$$\underline{2} = \begin{array}{c} \bullet \\ \square \end{array} = \underline{\Delta}$$

Likewise, the only 2-operope that can decorate
a node with 0 inputs is $\underline{\cup}$

Finally,



or, as a tree of \mathbb{S}^0 -trees,



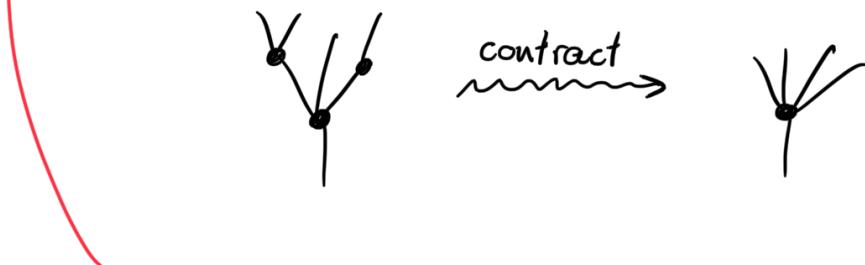
In fact, any tree can be made into a \mathfrak{I}^1 -tree
in a unique way, thus $\mathbb{O}_3 \cong \text{Trees}$

Finally, $\mathfrak{I}^2 = (\mathfrak{I}^1)^+ = (\mathfrak{I}^0)^{++}$ looks like this

$$\mathbb{N} \xleftarrow{s} E_3 \xrightarrow{P} \text{Trees} \xrightarrow{t} \mathbb{N}$$

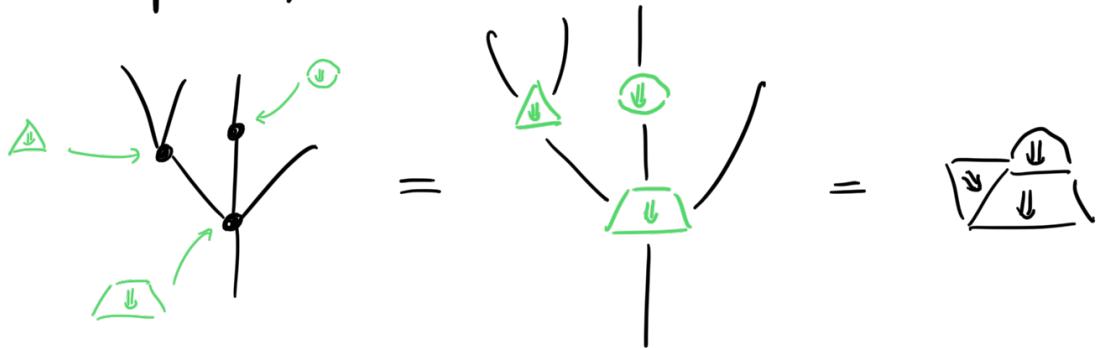
where for $T \in \mathbb{O}_3 = \text{Trees}$,

- $P^{-1}(T) = \text{the set of nodes of } T$
- if $x \in P^{-1}(T)$, then
 - $s(x) = \text{number of inputs of } x$
 - $t(T) = \text{number of leaves of } T$



In \mathfrak{I}^2 , the nodes of T become its inputs

Graphically,



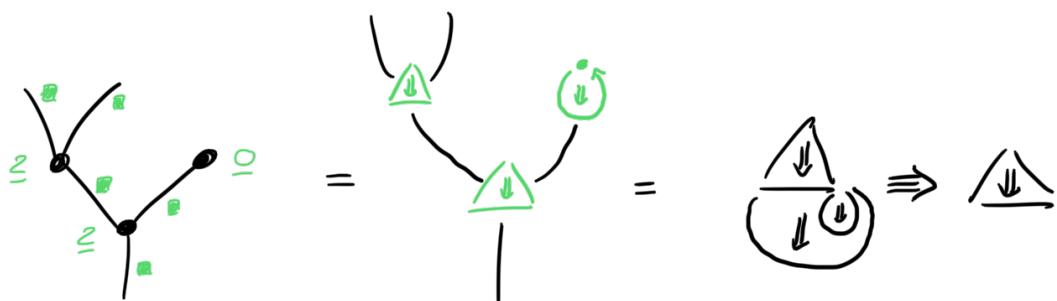
and since the tree has 4 leaves,

$$t\left(\begin{smallmatrix} \text{\LARGE \uparrow} \\ \text{\LARGE \downarrow} \end{smallmatrix}\right) = \text{\LARGE \downarrow} = \begin{smallmatrix} \text{\LARGE \uparrow} \\ \text{\LARGE \downarrow} \end{smallmatrix}$$

and finally we draw

$$\sqrt{\frac{1}{\lambda}} \Rightarrow \sqrt{\lambda}$$

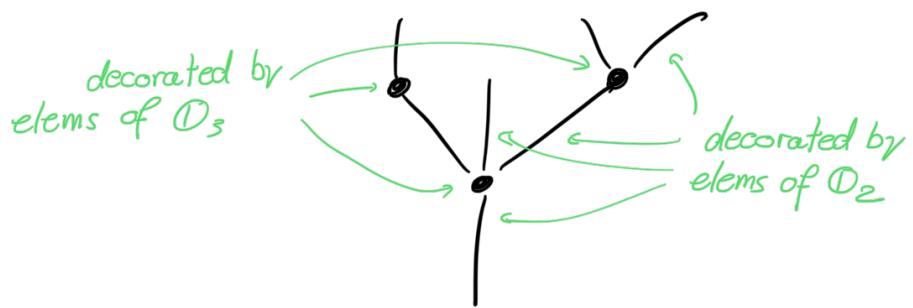
Other example



$$\underline{n = 4}$$

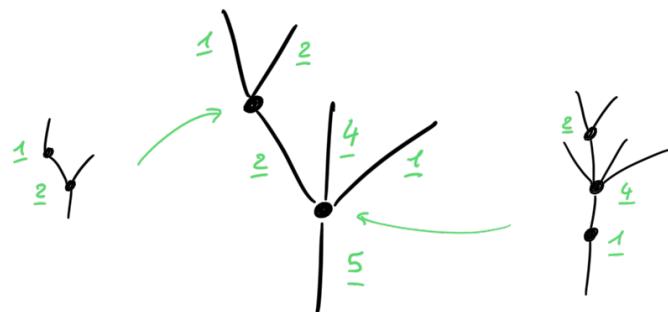
$$P = \frac{1}{2} P_{\text{ext}} A = \frac{1}{2} \rho_{\text{ext}} g h A = \frac{\rho^2}{2} g h A$$

By definition, a 4-operope is a -tree



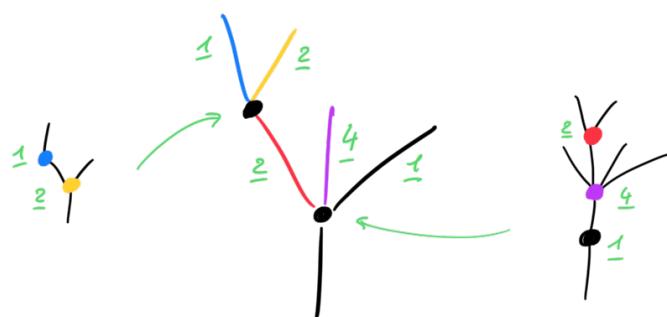
From now on the edge decorations are non-trivial

Small example

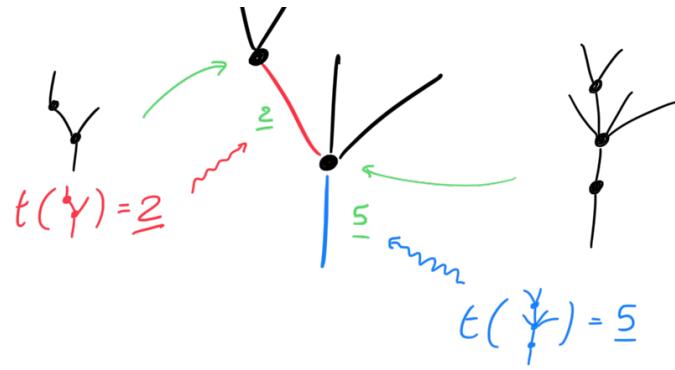


Things to note :

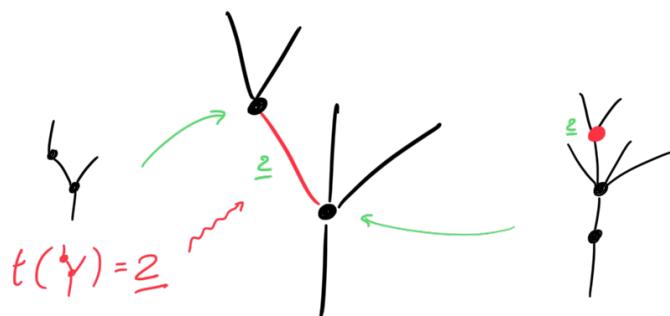
1) Decoration of input edges



2) Decoration of output edges



3) Nodes must agree on the decoration of inner edges!



4) The main tree talks about giving the \mathbb{D}_3 -opesopes decorating its nodes

